1-90-1

LIVOICE CHECK LIEF MINUTER Subproject 90 At Seac of Original Authorization Period Covered Time Estanded Alletenat Ascust of Madae 1 Dec 1958 9-3503-25-9 Additional Pariod Tice Extended Ascent of Chiamaton Alletenus Anthorizations Covered lavoico Sunave

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November 18, 1960

Total amount received:

\$9,500.00

Disbursements:

Fellowhip, 10 mos. Nov. 1, 1958/Aug. 31, 1959 \$6,500.00

Secretarial and clerical

1,455.99

Travel Expenses

313.32

Misc. office supplies and research materials

500.37

Telephone toll calls

Prepared by

---15.35 18.33 \$8,803.36

Service charges - bank Total disbursements to date

8,803.36

Balance held on hand for future expenses

This is a true statement of expenses as reported to us.

I have examined and approved the submitted expenditures.

TSS/Charical Division

Date: 1/3 /60

September 19, 1960



It has been one year since the final payment of your grant in the amount of \$9,500,00. At that time, you had agreed to provide a final report. Subsequent to that time, I verbally authorized two delays in the submission of the report, the last of which was to be May 15 of this year. To date we have received no report.

As is required of non-profit funding <u>institutions in</u> the state, I formally request both an accounting of the funds received and the report agreed upon in our initial arrangements for the grant.

I feel that it is fitting for me to emphasize that your delinquency in reporting may be detrimental in that the Board of Directors and the scientific advisers of the Society have been informed of your schedule for reporting in previous meetings and must, of course, be given a progress report at our next meeting scheduled for early November. I would urge you to consider the consequences of further delay.

Sincerely yours,

Executive Secretary

JLM:eb

September 1, 1959

Bar Fr. B

Enclosed please find the check in the amount of \$2,375.00 which represents the final payment on the grant given to you for the study of science in the

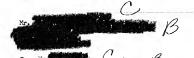
Sincerely,

Assistant Treasurer

Enclosure

xxxxx 1-8666

March 2, 1959

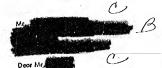


Enclosed please find the chock in the amount of \$2,375.00 which represents the second quarterly payment on the grant given to you for the study of science in the check in the amount of

Sincerely,

Enc.

November 17, 1958



8 6

Attached is our check in the amount of \$2,375,00 which is the first of four payments of a grant from the for the completion of your study of science in the

13

It is acknowledged that \$3,000.00 of the total grant of \$9,500.00 is designated as compensation for stenographic and clerical assistance. The Board has recommended that additional funds in the amount of \$2,500.00 for travel and compensation of consultants be made available upon your request of a later date after your plan for research has been further defined, these funds to be administered by the staff under terms designated by the Executive Secretary.

The only condition that applies in the utilization of this grant is that any technical reports or popens which grow out of the study supported under this grant shall contain the following notice: "This study was supported in part by a grant from the

The Board of Directors joins me in congretulating you upon receipt of this grant. We are pleased to be able to facilitate work of an important nature to be accomplished by young Americans of great potential.

Sincerely yours,

Vice President

foci l

10 December 1953

# MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA

: TSS/Budget Officer

SUBJECT

: MKULTRA, Subproject No. 90, Invoice #1
Allotment 9-2502-75-902

Invoice #1 covering the above subproject is attached. Payment should be made as follows:

Cashler's check in the amount of \$12,000,00, payable to

 Please forward the check to Chief, TSS/Chemical Division through TSS/Budget Officer by Wednesday, 17 December 1958

2. This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

Acting Chief

TSS/Chemical Division

Attachments: Invoice & Certifications

Distribution:
Orlg & 2+ Addressee
1 - TSS/FASB

1 CENTER THAT IT IS AT ANALYSIS OF SEC. 1966.



#### 18 November 1958

MEMORANDUM FOR: COMPTROLLER

ATTENTION

Finance Division

SUBJECT

: MKULTRA, Subproject No. 90

Under the authority granted in the memorandum dated

13 April 1953 from the DCI to the DD/A, and the extension of
this authority in subsequent memoranda, Subproject 90 has been
approved and \$12,000.00 of the over-all MKULTRA project funds
has been obligated to cover the subproject's expenses. This
obligation of funds should be charged to Alletment 9-2502-75-902.

Chief
TSS/Chemical Division

Approved for Obligation of Funds:

A A

CHARLES THE STATE OF THE CHARLES THE STATE OF THE STATE O

Research Director

· 4.5

Distribution:

Orig & 2 - Addressed

TSS/FASB

I hereby acknowledge receipt of the following: RECEIPT Treasurer's Check No.

13 90-9

10 December 1958

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA

TSS/Budget Officer

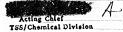
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Allotment 9-2502-75-902

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- This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.



Attachments: Invoice & Certifications

Distribution: Orig & 2- Addresses

1 - TSS/FASB

SS/ (10 Dec 1958)

A

### INVOICE

For Services

\$12,000.00

#### CERTIFICATIONS

(1) It is hereby certified that this is Invoice \$1 applying to Subproject 90 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is filled in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Acting Chief, TSS/Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 90 under MKULTRA which was duly approved and that the project is being carried out in accordance with the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

Research Director

Date:

.

0 to 6 90-10

18 November 1958

MEMORANDUM FOR: COMPTROLLER

ATTENTION

: Finance Division

SUBJECT

MKULTRA, Subproject No. 90

Under the authority granted in the memorandum dated

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obligation of funds should be charged to Allotment 9-2502-75-902.



TSS/Chemical Division

Approved for Obligation of Funds:

Research Director	200	
Date:		
Distribution:		
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MEMORANDUM FOR: THE RECORD

SUBJECT

: MKULTRA, Subproject 90

1. The title of this project is "A Study of the Scientist in the

The purposes of this project are (a) to produce a

descriptive model of Scientists who are likely to come into
contact with Americans, and (b) to specify methods and strategies

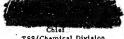
for evaluating and influencing such scientists. The project is undertaken in response to requirements for research support from Chief,
(attached) and from the Deputy Chief, Staff for

has submitted to the a proposal (attached) for research which will satisfy these requirements.

3. A grant from the for the will be made to Mr. as an independent investigator. Cover for the grant will be the interest in the psychological, sociological, cultural, and political determinants of individuals occupying critical roles within various societies.

Funding and supervision of this project will be handled by in the regular manner. Accounting for the funds expended will be according to the procedures previously established for the telative to grants to unwitting agents. Any unused funds remaining at the conclusion of the project will be returned to the Agency. It is not anticipated that any permanent equipment will be acquired during the project. Travel funds will be accounted for in a manner consistent with the established practice of the

5. The total cost of this project for a period of one year commencing 1 November 1958 will be \$12,000.00. Charges should be made against Allotment 9-2502-75-902.



TSS/Chemical Division

Approved for Obligation of Funds:

Research Director 12/1/28

Attachment:

Research proposal & Memo from

Distribution: Original Only



Estimated Cost for one year:

Principal investigator's salary

Secretary and clerical

Consultants

Travel

\$ 6,500.

3,000.

2,000.

500. \$12,000.

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SUBJECT: (Optional)  Request for	Posserch S	moort.		
ROM:	in action		\$ 10.7	™ SR/6-58-511
Rin	Ext/	•		DAYE 17 September 1958
(O. (Officer designation, room its building)	nber, and RECEN	DATE FORWARDED	OFFICER'S INITIALS	COMMENTS (Number each comment to show from who to whom. Draw a line across column flet such commen
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3. C/ChemDiv/T	SS.	9/19	L,	Attn: Mr
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SR/6-58-541

17 September 1958

MEMORANDUM FOR: Chief, Chemical Division, TSS

ATTENTION

: Are

SUBJECT : Requirement for Research Support

•

1. In accordance with our several oral discussions, therewith requests that your office undertake the following research projects. It is immaterial whether these are undertaken solely within the Agency or appropriately cleared consultant sources are utilized.

2. a. Given a model or prototype of citizen, in accordance with certain specifications, it is desired that guidance be provided as regards the mose effective way or ways in which repressed and rationalized anti-regime motivations may be aroused or, more properly, re-activated. The "specifications" of this model or prototype are:

- (1) Age -- 35 to 45 years.
- (2) Sex -- male.
- (3) Family -- children, possibly in university.
- (h) Profession -- scientist.
- (5) Status --- a professional "comer."
- (6) Political and Ideological Beliefs -- while in youth an idealist, perhaps discount idealist, who became critically disillusioned and disgusted in early twenties. Sought escape and release in profession. As result of family responsibilities and increasing professional prestige and status, has repressed and rationalized his doubts and opposition sentiments. New prefers to avoid any type of political involvement or perhaps even discussion, due to operation of his internal "security" or anxiety system.

b. Given, on the other hand, a model of a who is now a member of the so-called "Inner emigration," or otherwise media, the criteria of the "allenated" person (as developed by what criteria can be developed for spotting and assessing such persons as potential agent recruits, and how should their development be conducted,

II. It is recognized that you may desire to develop these requirements orally and at length. We will be glad to participate in further discussions and guidance on these requests and provide such assistance as may be necessary.

#### SUPPLEMENTARY MENORANDUM

October 27, 1958



I. Relationship of the interview project to the proposed study.

The proposed project is a new conception, and not only was not contemplated but also was not possible within the linits of the project. In the course of that study such important material was collected which could not be used directly in carrying out the mandate of the Foundation, and which could not yield its value if it were to be used in isolation. In combination with other resources, however, the otherwise hard-to-systematize insights gleaned from the interviews can support an illuminating analysis and description. A portrayal of the scientist in society, we using a role-model analytic scheme and a broad ecological orientation, goes far beyond the purposes; the interviews alone could not form a sufficient basis for such a portrayal, though they contain data indispensable to the investigation.

page 2

The project was conceived as "a study in international communication" which would have a "service function" for the scientific community. (Letter from to the scientific community. (Letter from to the scientific community. (Letter from the scientific achievement and the serial and political environment in the which makes for this possible, " and 2) "to disseminate information on the experiences of scientific travelers among the wider scientific community in this country." (Letter from to the position of the sciences in the and to gather material (both from and about our panel) which would be helpful in maximizing the values from the exchange and translation programs.

Thus the report to the will begin by describing our interview group in detail and analyzing their evaluation of the level of work in science, distinguishing among various specialties and tiaos of visits. Then it will report on observations concerning the institutional structure of research and development facilities, and it will conclude with a consideration of the communications aspects of the visits, with emphasis on implications for future exchanges of scientists and translations of scientific information.

## II. The Interview

The material collected necessarily extends beyond this report, however our interview procedure was to begin by explaining

our interests and asking the informant to comment on whatever in the general areas seemed most significant to him. Then it was found possible, by probing with caution, to touch on all our areas of interest without tending to limit the respondent to routine categories or sterotyped replies. In this way we obtained not only a large body of internally reliable data amenable to internal comparisons, but a wealth of relatively idiosyncratic insights, anecdotes, and comments which were highly suggestive and wide ranging.

The following list of questions is suggestive but not exhaustive of the topics we covered consistently. (It is copied from the "conclusion" section of a long early memorandum in which I listed in some detail questions we hoped to learn more about.)

- 1. What about your visit struck you as most noteworthy or interesting?
- What is your evaluation of the level of work in in your field?
  - a. What were your opportunities to observe?
  - 1. Your itinerary? 2. Your specialities?
  - Comparison with the U.S.?
     Comparison with other countries known to you!
- 3. How would you account for the present level of science? I what factors (social or other) affect science, in general? In this instance?
- 4. At what points do you think it sakes a difference to the scientist that his society is what it is?

  a. What are the salient aspects of the projecty?
  b. Comparisons with American situation and with that in other kinds of societies?
- 5. What factors do you think really determine the choice of research problems in science?

(Role of administration; basic vs. applied; theory and experiments; informal or de facto table of organization; organization of labs in terms of authority, etc.; channels of recognition, publication, etc.)

- 6. What kinds of people are most productive in science? Why? (Socio-economic recruitment; training; posture with respect to regime and ideology, factors making for productivity in science.)
- 7. What were your conversations with scientists like? How were your conversations different than they might have been if these scientists had been their counterparts in another country? (U.S., neutralist?)
- What kind of information do you wish you had had before you went, or did you find most valuable to have had?
- 9. Since your return, with what sorts of people have you talked about science? What were they interested in! What did they sies inquiring about? Were any of your statements subjected to distortion, e.g., in the press? Why do you think this happened? (Systematic factors, such as popular fallacy or stereotype?)
- What recommendations have you concerning future exchanges?
   Who should be south flow can the value of such exchanges be maximized? Risk ministred?
- What do you think should be done about the problems of exchange of scientific information? (Science and values: freedom of communication; security systems; social consequences of science; responsibility of scientists for policy; science and economics, and weifare, and nationalists, and war and peace!)

In addition to these topics we collected personal data on each respondent, including such things as his command of languages and his travel experiences, as well as the kind of biographical information ordinarily supplied from Who's the and American Men of Science. We also summarized all his published statements on relevant topics, using the MY Times Index and the Readers Guide to Periodicals, in addition to our general collection of articles, diaries, and unpublished reports, which I mentioned in my earlier note.

This collection of material can be utilized as a contributory resource for a number of inquiries which range beyond the immediate purpose for which they were collected. Thus there are tenative plans at the Center to re-examine this material in connection with studies of the attitudes of American scientists on crucial problems of contemporary policy, and as a part of a minute examination of strengths in research and development it is planned to cull from the interviews specific references to personnel and installations which may serve as an adjunct to the "hard" information gathered from other sources.

In summary, the proposed project would subject the data to re-analysis in terms of a specific question which would be difficult to answer without these unique kind of data from direct and expert observation, but which would be equally difficult to deal with from these data alone.

It takes advantage of existing files while gathering new material into a quite different mode of analysis.

III. Relationship of the proposed study to the

The second question you raised was whether the advantages of carrying out the project within the Center would be worth the additional expense. I believe the larger investment would be well justified, especially because of the unique opportunities for sharing of material and cross-

page 6.

fertilization of ideas which exist here. In particular, the Conter members with whom I would work most closely are engaged in the following studies: a series of crossnational comparisons of elite groups ( , studies in the inter-relations of science and policy , a C comprehensive and detailed assessment of research and development efforts associological study of professional education, particularily as a factor in social change and a study of the process of coercive paramation in countries Purthermore, to be affiliated with a very well-known institution such as would facilitate obtaining further /3 interviews on such a potentially delicate subject , and with certain hard-to-get-hold-of respondents. There are many other ways, of course, in which a research organization is helpful.

valuable as it would be for this project to be a part of the Center's program, it is not indispensable to a worthwhile result. I may use my data in any case, and my personal relationships with the people here would keep me in touch with their work. But I do believe that, if circumstances permit, it would be advisable (though not absolutely necessary) to carry out the study in the manuar proposed.

18 November 1958

MEMORANDUM FOR: THE RECORD

SUBJECT

: A Study of the Scientist in the

1. The attached proposal originally envisioned supporting this project through the with which organization the investigator is presently associated. In subsequent conferences the investigator agreed that many of the advantages of association with could be

presently associated. In succeeding the constitution with agreed that many of the advantages of association with obtained without the formal arrangement and excessive overhead payments. The grant is therefore made to Mr. And the same an independent investigator. The revised Estimate of Costs for one year therefore is:

Investigator's salar Secretary and Cler Consultants	ry ical Assistance	3,000. 2,000.
Travel		500.
	Tatal	\$12,000.

 Payments to the investigator for salary and secretarialclerical expenses will be made quarterly. The final installment payable upon delivery of an acceptable final report. Travel and commitments for paid consultations must be authorized by the advance of obligations.

3. Supervision for administrative purposes will be provided by the Executive Secretary of the Technical supervision will be B provided directly by Dr. consultant to the and and a sindirectly by Dr. Staff, who has arranged a supervision with Dr.

 The principal investigator is not witting of Agency interest, but with an eye to future potential utilization of this individual a covert clearance has been requested.

TSS/CD/III

Distribution:
Orig & 2 - TSS/CD



### EMORANDUM FOR: THE RECORD

SUBJECT

: A Study of the Scientist in the

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Investigator's	salary		\$6,500.
Secretary and	Clerical As	elstance	3,000.
Consultants	1000 -1		 2,000.
Travel		*	 500.

Total

\$12.000.

Payments to the investigator for salary and secretarialclerical expenses will be made quarterly. The final installment payable upon delivery of an acceptable final report. Travel and commitments for paid consultations must be authorized by the advance of obligations.

3. Supervision for administrative purposes will be provided by Technical supervision will be the Executive Secretary of the Consultant to the provided directly by Dr. Stall, who has arranged C indirectly by Dr. plausible cover arrangements with Dr.

4. The principal investigator is not witting of Agency interest, but with an eye to future potential utilization of this individual a covert clearance has been requested.

Distribution: Orig & 2 - TSS/CD

#### MENORANDUM

October Di. 1958

TO: Dr. Executive strictery That Range & B. Range & B.

### I. Purpose of the Study

Much attention has been given to scientists by Wastern coservers, especially since the recent and successes in dramatizing their growing capecities for verbing at a very high level of preficiency. Our scholarly efforts have gone towards describing the level of attainment in various fields of science, the details of the educational system from which scientists are drawn, and the formal structure of the and the related research institutes and universities through which ecientific work is administered. Most of the discussion has been concerned with evaluating their technical capacity and especially with assessing its economic, military, and political implications. Yet our understanding of the functioning of the intellectual worker in the in the context of those ideological, political, economic, and other social forces which are reculiar to the eacht ea liew say characteristic of all industrialized societies) remains somewhat vague. For exemple, the previously widely accepted formula, that science languishes in an environment which limits freedes of inquiry, has now been replaced by the equally traistic and overcimplified slogan, that science flourishes in an environment which remarks it memorously. Each of these ephorisms expresses a part of the touth, of course, but it remains to describe in detail the

interrelations of scientists with their social environment. The purpose of this stray is to charpen our picture of the scientist in the socialogical and psychological aspects of his role in

### II. Resources for This Study

Such a pertrait should not only synthetics the relevant naterial from the literature of the various applicable disciplines, but should draw upon direct observation and questioning of scientists at work, at hors, and in other social situations. The best investigators for this phase would be settern scientists whose professional statuses and interests enable them to possemicate most directly with their collegues.

'A substantial body of such material has already been sollected by fand sysolf, on behalf of the We interviewed more than 100 scientists who had visited that ettend scientific mostings, to lecture at the invitation of the to visit laboratories and universities, to mast colleagues with whom they had corresponded, to see the country as tourists, or to do all of these. The group was calcoted as "observers with accessed qualifications for expert reperting on the seciology of science," and included non and wegan from all parts recreasinting every usin field of the of the United States and physical, biological, and scelal sciences, including various branches of engineering and tacknology; our informatic ranged from 23 to 7h years old, from PhoB. Our typical respondent was American, between candidates to 40 and 60, a preferror of a large university in a Northcoat, Marth Cembral, or Most Coart malacomilia, and a man with an intermational reputation in one of the spinners. The interphone everages close to two hours in largin, and in most cases evry transprided verbatin from electrical recordings. In addition to the interviews, our informants gave up deceme of detailed, unpublished reports,

including a number of hour-by-hour logs of their visits, in which every encounter and observation was noted. This naterial can serve as a rich source for the study of the

The proposal study would develop this topic much nore latensively than does our report to the state thick is also concerned with several other topics. I such as the pattern of communication of scientific information formed by the visite, and the implications for planning the awahange and translation programs. Furthermore, the proposal study would not be limited to what has been language by returning vectors scientists, as was the project, but would whill all other appropriate means for studying the scientists.

Such means would include an intensive examination and synthesis of the relevant historium, including both on the ordial organization of voicers [a.g., ], the social and psychological characteristics of extensive and organizate [a.g., ], the contemporary intellectual history of the [a.g., ], and the current atatus of [a.g., ] ordines [a.g., ]. Special CV—topics such as the image of the soisablet in contemporary [flekion, ] the official prenouncements on science and disloctical materialism, and the public statements of the scientists themselves, thould resolve abtention.

A substantial proportion of the available liberature on each of these topics has already been studied.

New interviews should be sendusted with informants not providently utilized, such as come students of the common room too have not been around the visitors, and entgree code within the ord students. There will seem to a contract to a number of describes a who have enough up to a full year vertice in the contract to the restories, and these technique students about it is informated. Although a chould also be made to talk to code which a righting have, though ware must be taken to world endergraphing unfully.

90-18 F

The goal of this project will be to portray Schentists in their society. Thus the effort will be primarily to collect and to organize new information and relatively as ince insights toward an empirical and empathic sort of understanding. It will be necessary, however, to utilize a conceptual scheme as an add in forming the descriptive product.

There is always some rick in using abstract framulations; they are good servants but had masters, and in the worst cases spoil both observation and commendation. However, even a project which does not separe to contribute directly to general theory must build on previous formulations and in so doing put that to used, if results of cumulative as well as topical importance are to be hoped for. Furthernouse, the purposes served by conceptual models are not limited to the theoretical, choose by providing criteria of relevance and organization models can make empirical inquiry more incisive and description more lucid. Finally, conceptual analysis provides the only systematic way to explore previously unnoticed relationships enough the phenomena being described.

An appropriate first step then, would be to develop an enalysis of the structure of the social role of the satisficit in the the patterned structure of the social role of the satisfic and the characteristic modes of response to these problems, especially in terms of the belief systems of the scientist. This form of analysis could serve first as an interimproduct and then as a flexible guide for additional investigation and the final managraph.

A role ridal, with capitals on the relation of sometime to ideology, is at grantitude applies to implicible material by stall in (

erus Anordean school superintendents in terms of conflicting role expectations and their resolutions in

approach is roughly analogous to that envisioned for this study;
methods are generally not feasible for this project but his book provides
an analysis of the concepts involved and extensive citations of the literature in which they have been discussed. The approach to be used will
build upon such experiences in the application of role theory; the role
under itself will be formed from the interview data already acquired, and
integnation from the sources lieted on page three will be used as content
within which to fit the American scientists reports. Following this
stage of analysis it should become possible to identify uposific areas in
which additional information is needed, and to formulate hypothesis which
can be tested (though perhaps only credely) by means of new interviews and
inquiries into primary sources;

This study would be a fully independent project, carried out within the where it would enjoy close provinctly to on-going research in several related areas. In particular, Center members the conducting research which is complementary to this study and they have agreed to constitute an informal sixtistry remaines throughout the project.

Deep closely related to that of the proposal project, has also kindly agreed to constitute and assistance. There are agreed additional proposal that the proposal projects are according to the constitute of the proposal projects. There are agreed additional agreements of the proposal projects are consequently substituted in the proposal projects are consequently substituted in the proposal projects are consequently substituted in the proposal projects are consequently and projects are consequently to the consequence of this subject matter. Note of these appointmentage for abstaining valuable consequence, needed to any very limit the

This properal will be for a ton-month project, form November 1, 1998 to September 1, 1998, and wheld require the Collecting Conden

freeder, of the investigator and the state of proceed imposed and in

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,	Secretary and clerk-typics	3,000
	Prayed applices	2,700
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		25,300

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accordance with their can best judgment.

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ameens of the Caster staff. Sugaters processors for project proposate. A requires the approval of careain lessibute officers, when the few bearing their signatures has been sond to the third amountain will become to E efficial application from



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18 November 1958

# MEMORANDUM FOR: THE RECORD

SUBJECT

: A Study of the Scientist in the

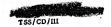
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Consultants Travel	500.

Total - \$12,000.

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- 4. The principal investigator is not witting of Agency interest, but with an eye to future potential utilization of this individual a covert clearance has been requested.



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